

Docket No. 242028US8CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Shinichi ARAI, et al.

SERIAL NO: NEW CONTINUATION APPLICATION

GAU:

FILED: HEREWITH

EXAMINER:

FOR: LOW-DISPERSION OPTICAL FIBER AND OPTICAL TRANSMISSION SYSTEM USING THE LOW-DISPERSION OPTICAL FIBER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references were made of record in parent application serial no. 09/983,616, filed October 25, 2001, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

☐ A check is attached in the amount required under 37 CFR §1.17(p).

☐ A credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.

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CERTIFICATION

☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Bradley D. Lytle

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| Form PTO 1449 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 242028US8CONT | | SERIAL NO. NEW CONT. APPLICATION | |
| LIST OF REFERENCES CITED BY APPLICANT | | | | APPLICANT Shinichi ARAI, et al. | | | |
| | | | | FILING DATE HEREWITH | | GROUP | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| | AA | 6,055,353 | 04-25-2000 | AISO | | | |
| | AB | 6,532,331 | 03-2003 | KATO ET AL | | | |
| | AC | 6,449,416 | 09-2002 | LEE ET AL | | | |
| | AD | 5,822,488 | 10-1998 | TERASAWA ET AL | | | |
| | AE | 5,732,178 | 03-1998 | TERASAWA ET AL | | | |
| | AF | 5,721,800 | 02-1998 | KATO ET AL | | | |
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| | AH | | | | | | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES NO | | |
| | AL | WO99/30193 | 6-17-99 | WIPO (ENGLISH ABSTRACT) | | | X |
| | AM | WO98/04941 | 02-05-98 | WIPO | X | | |
| | AN | 11-84159 | 03-26-99 | JAPAN (ENGLISH ABSTRACT) | | | X |
| | AO | EP 0 938 001 | 08-25-99 | EUROPEAN PATENT | X | | |
| | AP | WO00/70378 | 11-23-00 | WIPO (ENGLISH ABSTRACT) | | | X |
| | AQ | WO00/63732 | 10-26-00 | WIPO (ENGLISH ABSTRACT) | | | X |
| | AR | DE/97/2828A1 | 10/98 | GERMANY | | | |
| | AS | | | | | | |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| | AT | NON-ZERO DISPERSION SHIFTED FIBER WITH LOW DISPERSION SLOPE; L. JIE ET AL, PROCEEDINGS OF APCC/OECC '99, OCTOBER 18-22, 1999, BEIJING, CHINA, pp. 1373-1374. | | | | | |
| | AU | DESIGN AND FABRICATION OF LOCALLY DISPERSION-FLATTENED LARGE EFFECTIVE AREA FIBERS; Y. LIU ET AL, ECOC '98, SEPTEMBER 22-24, 1998, MADRID, SPAIN, pp. 37-38 | | | | | |
| | AV | PRACTICALLY FEASIBLE DISPERSION FLATTENED FIBERS PRODUCED BY VAD TECHNIQUE; Y. YOKOYAMA ET AL; ECOC '98, SEPTEMBER 22-24, 1998, MADRID, SPAIN, pp. 131-132 | | | | | |
| | AW | MUKASA, U.S. PATENT APPLICATION PUBLICATION NO. U.S. 2003/0095768A1, PUBLISHED 5/22/2003. | | | | | |
| | AX | ARAI ET AL., U.S. PATENT APPLICATION PUBLICATION NO. U.S. 2002/0102085A1, PUBLISHED 8/12/2002. | | | | | |
| | AY | MUKASA, U.S. PATENT APPLICATION PUBLICATION NO. U.S. 2001/0021298A1, PUBLISHED 9/13/2001 | | | | | |
| | AZ | | | | | | <input type="checkbox"/> Additional References sheet(s) attached |
| Examiner | | | | | Date Considered | | |
| <small>*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small> | | | | | | | |